



470.

Anderson 5-13-9-12

#9

J.
1122-03
TDS w/Refers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): G. Anderson et al.

Case: 5-13-9-12

Serial No.: 10/022,926

Filing Date: December 18, 2001

Group: 2161

Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: *Denise L. Glaser* Date: May 17, 2002

Title: Methods and Apparatus for Automated Monitoring and Action Taking Based on Decision Support Mechanism

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAY 23 2002

Technology Center 2100

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. U.S. Application Serial No. 09/253,190 (attorney docket no. G. Dong 1-4-3-1-3), filed in the name of G. Dong et al. on February 19, 1999 and entitled "Declarative Workflow System Supporting Side-Effects."
2. U.S. Application Serial No. 09/253,274 (attorney docket no. G. Dong 2-7-5-3-2-2-5), filed in the name of G. Dong et al. on February 19, 1999 and entitled "Data Item Evaluation Based on the Combination of Multiple Factors."
3. U.S. Patent No. 5,809,212 issued on 9/15/98 to Shasha
4. Peter Piatko, "Thinksheet: A Tool for Information Navigation", a dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy, Department of Computer Science, New York University, May 1998.
5. P. Buneman et al., "Comprehension Syntax" SIGMOD Record 23 (1994), pp. 87-96.

RECEIVED

JUN 05 2002

Page 1 of 2

OFFICE OF PETITIONS

6. P. Buneman et al., "Naturally Embedded Query Languages", Proc. of Int'l. Conf. on Database Theory, 1992, pp. 140-154, published by Springer-Verlag as Lecture Notes in Computer Science volume 646.
7. N. Krishnakumar et al., "Managing Heterogeneous Multi-System Tasks to Support Enterprise-Wide Operations", Distributed and Parallel Databases, 3, 1-33 (1995).
8. D. Stott Parker et al., "SVP - A Model Capturing Sets, Streams and Parallelism", Proceedings of the 18th VLDB Conference, Vancouver, British Columbia, Canada, pp. 115-126, 1992.
9. J.A. Miller et al., "WebWork: METEOR₂'s Web-Based Workflow Management System", Journal of Intelligent Information Systems, pp. 1-30 (1997).
10. V.S. Subrahmanian, "Amalgamating Knowledge Bases", ACM Transactions on Database Systems, (TODS) 19(2): pp. 291-331 (1994).

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



James M. Loeffler
Reg. No. 37,873
Attorney for Applicant(s)
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560
(516) 759-4547

Date: May 17, 2002

RECEIVED
JUN 05 2002
OFFICE OF PETITIONS